

Internal Audit Plan for Fiscal Year 2021 FINAL

Audit Title	Area / Initial Objective(s)
Audit of Completed Management Actions for CDBG	Review completed actions and determine if management has sufficiently addressed the risks identified for the CDBG Audit.
Procurement and Contracting Process Compliance C/	Review Contracting process, monitoring and management process and controls, document retention and compliance with laws including compliance to SB20 and SB65.
CAPPS Role / User Access Review A/	Review of Logical Security
Review Contract of selected Division contracts C/	Review of Division Level contracting process, monitoring and management process and controls, document retention and compliance with laws including compliance to SB20 and SB65.
Audit of Completed Management Actions for HR and HR-IT	Review completed actions and determine if management has sufficiently addressed the risks identified for the HR and HR-IT Audits. (To be started but will carryover)

A/ the applicable information technology risks related to Title 1, Texas Administrative Code, Chapter 202, Information Security Standards,

B/ benefits proportionality, expenditure transfers, capital budget controls, or any other limitation or restriction in the General Appropriations Act. and

C/ contract processes and controls for monitoring agency contracts, according to Texas Government Code, Section 2102.005(b)

** All Projections based on full staffing and availability of agency resources*